

P.O. BOX 730 SACKETS HARBOR, N. Y. 13685 PHONE 315-646-2234 FAX 315-646-2297

SGCC Guidance on Implementation of CPSC Requirements Pursuant to Section 14 (15 U.S.C. § 2063)

- A. Every manufacturer of an architectural glazing material that is subject to 16 C.F.R. Part 1201 (glass used in storm doors or combination doors, doors, bathtub doors and enclosures, shower doors and enclosures, sliding glass doors) and that is imported or sold in commerce (and the private labeler of such product if such product bears a private label) shall issue a certificate that, based upon a test of each product or upon a reasonable testing program, such product complies with the applicable regulation.
- B. The importer is the sole entity that must issue the required certificate in the case of an imported product, while the domestic manufacturer (or private labeler) is the sole entity that must issue the certificate required in the case of a domestically produced product.
- C. The certificate shall be in English (but may also contain the same content in one or more other languages).
- D. The certificate shall contain the following information:
 - 1. Identification of the product covered by the certificate
 - 2. Citation to 16 C.F.R. Part 1201
 - 3. Identification of the U.S. importer or domestic manufacturer (or private labeler) certifying compliance of the product
 - 4. Contact information for the individual responsible for maintaining records of test results, including name, e-mail, address, phone
 - 5. Date and place where this product was manufactured
 - 6. Date and place where this product was tested for compliance with the regulation cited above
 - 7. Identification of any third-party laboratory (or other conformity assessment body) on whose testing the certificate depends.
 - E. Upon request, the SGCC Administrator will provide a written or electronic statement to a Licensee that sets forth certain of the required information and may be attached to (or referenced in) the Licensee's certification which provides the remainder of the required information. For example, based upon SGCC's records, the SGCC Administrator will provide a statement covering Items 1, 2, 3, 4, 5 (place), 6, and 7 that may be incorporated or referenced by the Licensee's Certificate, which would include information relating to Item 5 (date).

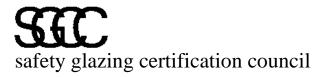
- F. The required certificate must "accompany" the product, and a copy shall be "furnished" to each distributor and retailer of the product.
- G. Pursuant to a long-standing CPSC Advisory Opinion, a label on the material or product is acceptable as a certificate of compliance meeting the requirements of the CPSC if the label contains the same information required of a certificate of compliance. The certificate of compliance may be a separate document, which accompanies the material or product or is otherwise furnished to each distributor or retailer.
- H. Under new CPSC regulations, an electronic certificate satisfies the "accompany" requirement if the certificate is identified by a unique identifier and can be accessed via a World Wide Web URL or other electronic means.
 - a. The URL or other electronic means and the unique identifier must be created in advance and be available, along with access to the electronic certificate itself, to the FTC or to the Customs authorities as soon as the product or shipment itself is available for inspection.
 - b. An electronic certificate satisfies the "furnish" requirement if the distributor(s) and retailer(s) of the product are provided a reasonable means to access the certificate.
 - c. The electronic certificate must include a means to verify the date of its creation or last modification.
- I. There is no specific mechanism or procedure required by the statute or regulations for providing the information necessary to locate the electronic certificate. One possible approach is to prominently print a URL address on each bill of lading or shipping document that would take the customer directly to that part of the company's website, or the SGCC website, where they could click on a unique product identifier (such as the company's product code, or SGCC number) and find the information needed for the product.

Proposed DRAFT wording for shipping document with date of manufacture included

"The manufacturer <Company Name> certifies that the enclosed product <unique identifier> complies with CPSC 16 CFR 1201 fabricated <date of fabrication> based on in-house testing and participation in SGCC, a third party conformity assessment body, as illustrated on the SGCC Record of Compliance Testing available at www.sgcc.org/compliance".

Alternately, the date of manufacture may be indicated on the actual product label; the proposed DRAFT wording would then read:

"The manufacturer <Company Name> certifies that the enclosed product <unique identifier> complies with CPSC 16 CFR 1201 fabricated during the date indicated on the product label based on in-house testing and participation in SGCC, a third party conformity assessment body, as illustrated on the SGCC Record of Compliance Testing available at www.sgcc.org/compliance".



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Record of SGCC Compliance Testing

The information contained herein is viewed to be accurate by SGCC, a third party certification agency, as of the indicated date of issue.

1)	Identification of the Product :	SGCC# 9999; 1/8" (3mm) TTG
2)	Citation or Standard to Which the Product is Being Certified:	CPSC 16 CFR 1201 II & ANSI Z97.1- 2004 CLASS A
3)	Identification of the Importer or Domestic	ABC Glass Co
	Manufacturer	123 Oak St.
		Las Vegas, NV 12345
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4)		Phone: (123) 456-7890
4)	Contact Information for Individual	See 5) below
	Maintaining Records of Testing	
5)	Date of Manufacture	Available from Manufacturer
	Place of Manufacture	ABC Glass Co.
		<qa rep=""></qa>
		123 Oak St.
		Las Vegas, NV 12345
		Phone: (123) 456-7890
		E-mail: abc@abcg.com
6)	Date Product was Tested for Compliance	1/1/01
	Place Product was Tested for Compliance	LAB INC.
		123 LOVE LN.
		NEW YORK, NEW YORK, 12345
		Phone: (123) 456-7890
7)	Identification of Third Party Laboratory	See 6) above

For additional information, contact the manufacturer or US Importer directly

10/17/09 DATE OF ISSUE

Jøhn G. Kent

SGCC ADMINISTRATIVE MANAGER